

<b>General information about company</b>	
Scrip Code*	526241
NSE Symbol	
MSEI Symbol	
ISIN	INE762C01021
Name of the listed entity	AMRAPALI INDUSTRIES LIMITED
Date of start of financial year	01-04-2021
Date of end of financial year	31-03-2022
Date of Report	28-05-2022
Whether any observations reported by the Secretarial Auditor	Yes
The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.	Yes
Any actions taken against the listed entity/its promoters/directors/its material subsidiaries either by SEBI or by stock exchanges (including under the Standard operating procedures issued by SEBI through various circulars).	Yes
Is there any observation made in the previous report	Yes
Name of the Certifying Firm	Payal Dhamecha & Associates
Name of the practicing Company Secretary issuing the report	Payal Dhamecha
Membership Type	ACS
ACS/FCS No.	47303
CP No.	20411
Place	Ahmedabad
Date	28-05-2022

<b>Regulations</b>			
Sr No	Regulation	Applicability during the period under review (Yes/No)	Any Observation (Yes/No)
1	Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Yes	Yes
2	Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018	Yes	No
3	Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011	Yes	No
4	Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018	No	No
5	Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014	No	No
6	Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008	No	No
7	Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013	No	No
8	Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015	Yes	No
9	Specify any other regulation applicable to the Company		

<b>Table I</b>					
Sr No	Regulation Name/ SEBI Circular number	Regulation Number/ circular dated	Compliance requirement (regulations/circulars/ guidelines including specific clauses)	Deviations	Observation details
1	Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Regulation 33(3)(d)	Regulation 33(3)(d) Non-submission of the Limited Review Report within the period prescribed under this regulation	The Company was required to submit Limited Review report along with submission of Unaudited Financial Result for the quarter ended on December 31 2021.	The Company has delayed submission of Limited Review report by 1 day for the Unaudited Financial Result for the Quarter ended on December 31 2021. BSE had levied fine of Rs. 5000 for delay of 1 day as per SEBI Circular no. SEBI/HO/CFD/CMD/CIR/P/2020/12 dated January 22, 2020. Fine Amount of Rs. 5000 was paid by Company on 22/03/2022

<b>Table II</b>				
Sr No	Action taken by	Details of violation	Details of action taken Eg fines, warning letter, debarment Etc	Observations/remarks of the practising Company Secretary, if any
1	BSE Limited	The Company has delayed in submitting Limited Review report for the Unaudited Financial Result for the Quarter ended on December 31 2021.	BSE Vide e-mail dated March 14 2022 levied a fine of Rs. 5,000 as per SEBI circular no. SEBI/HO/CFD/CMD /CIR/P/2020/12 dated January 22, 2020.	The Company was required to Submit Limited Review Report along with filing Unaudited financial result with Stock Exchange. BSE Limited vide email Dated March 14 2022 had levied a penalty of Rs. 5,000 for delay of 1 day as per SEBI Circular no. SEBI/HO/CFD/CMD/CIR/P/2020/12 dated January 22, 2020. Fine Amount was paid by Company on 22/03/2022

<b>Table III</b>				
Sr No	Regulation Name/ SEBI Circular number*	Observations of the practicing Company secretary in the previous reports	Observations made in the secretarial compliance report for the year ended.(the years are to be mentioned)	Actions taken by the listed entity, if any
1	Specify any other regulation applicable to the Company	The Company was required to report about the opening of new Demat account(s) as and when it is opened. MCX vide its letter no. MCX/INSP/CNU/19-20/1287 dated February 17, 2020 has levied a penalty of Rs. 5,000, which were paid by the company.	31-Mar-21	Penalty was paid by the company through its Settlement Account opened with MCX.
2	Specify any other regulation applicable to the Company	Being clearing member of MCX the company is required to be in adherence with applicable Rules, circulars, bye laws of SEBI and MCX. During the SEBI joint inspection with MCX w.r.t. books and records for April 1, 2018 to June 30, 2019, post inspection enforcement action to MCX in which MCX vide its letter no. MCX/INSP/CNU/2020-21/1054 dated December 28, 2020 levied aggregate penalty of Rs. 12,15,770/- as follows and was paid by the Company. 1. Mis-utilization of client funds – Penalty of Rs. 12,04,575/- 2. Incorrect reporting of Margin collection to the Exchange – Penalty of Rs. 9695/- 3. Not having MCCP Certification – Penalty of Rs. 1500/-	31-Mar-21	Penalty was paid by the company